

# FISH & RICHARDSON P.C.

225 Franklin Street  
Boston, Massachusetts  
02110-2804

Telephone  
617 542-5070

Facsimile  
617 542-8906

Web Site  
www.fr.com

November 13, 2003

Attorney Docket No.: 08575-076001

## Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Presented for filing is a new original patent application of:

Applicant: WILLIAM VAN HARLOW, RALPH JOSEPH WOLF AND ERIC  
FRANCOIS PENANHOAT

Title: MANAGING AN INVESTMENT PORTFOLIO

Enclosed are the following papers, including those required to receive a filing date  
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	18
Claims	3
Abstract	1
Declaration	2
Drawing(s)	5

### Enclosures:

- New disclosure information, including:
  - Information disclosure statement, 1 pages.
  - PTO-1449, 1 pages.
  - References, 1 items.
- Postcard.

Basic filing fee	\$770
Total claims in excess of 20 times \$18	\$0
Independent claims in excess of 3 times \$86	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$770

A check for the filing fee is enclosed. Please apply any other required fees or any  
credits to deposit account 06-1050, referencing the attorney docket number shown  
above.

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22141 U.S. PTO  
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Frederick P. Fish  
1855-1930

K. Richardson  
1859-1951

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If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

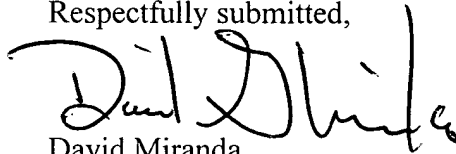
Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please direct all correspondence to the following:

26161

PTO Customer Number

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David Miranda", written over the typed name.

David Miranda

Reg. No. 42,898

Enclosures

DTM/txk

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